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IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

JC858 U.S. PTO  
10/027002  
12/20/01

**PATENT APPLICATION**

**Inventor(s):** Frederick Murray Burg

**Docket No.:** 2001-0208

**Application No.:**

**Filing Date:**

**Examiner Name:**

**Group Art Unit:**

**Title:** Independent Notification For Urgent Messages

**THE COMMISSIONER FOR PATENTS  
PATENT & TRADEMARK OFFICE  
WASHINGTON, D.C. 20231**

**SIR:**

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08A. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56(b).

- ☒ 1. This information disclosure statement is being filed within three months of the filing date of a national application other than a continued prosecution application under §1.53(d); within three months of the date of the entry of the national stage as set forth in §1.491 in an international application; before the mailing of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination under §1.114. No certification or fee is required. (37 C.F.R. §1.97(b)).
- ☐ 2. This information disclosure statement is being filed more than three months after the filing date of a national application other than a continued prosecution application under §1.53(d); more than three months after the date of the entry of the national stage as set forth in §1.491 in an international application, after the mailing date of a first Office action on the merits, or after the mailing of a first Office action after the filing of a request for continued examination under §1.114, but before the mailing date of any of a Final action under §1.113, a Notice of Allowance under §1.311 or an action that otherwise closes prosecution in the application. (37 C.F.R. §1.97(c)).

☐ a. I hereby certify that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. (37 C.F.R. §1.97 (e)(1)).

☐ b. I hereby certify that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to my knowledge after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement. (37 C.F.R. §1.97 (e)(2)).

☐ c. Please debit Deposit Account No. 01-2745 in the amount of \$180.00 to cover the fee under 37 C.F.R. §1.17(p) and to ensure consideration of the disclosed information.

☐ 3. This information disclosure statement is being filed after the mailing date of any of a Final action under §1.113, a Notice of Allowance under §1.311, or an action which otherwise closes prosecution, whichever occurs first, but on or before payment of the Issue Fee. (37 C.F.R. §1.97(d)).

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☐ c. Please debit Deposit Account No. 01-2745 in the amount of \$180.00 to cover the fee under 37 C.F.R. §1.17(p) and ensure consideration of the disclosed information.

Respectfully,

By: 

Date: 12/18/01

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number

Filing Date

First Named Inventor

Frederick Murray Burg

Group Art Unit

Examiner Name

Attorney Docket Number

2001-0208

Sheet 1 of 3

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	1	5,138,653	US	P. Le Clercq	08/11/1992	Abstract, Cols 1-2
	2	5,841,850	US	Y. Fan	11/24/1998	Abstract, Cols 1-2
	3	5,944,786	US	K. Quinn	08/31/1999	Abstract, Cols 1-4
	4	5,596,521	US	K. Kuan-Pin Wang	09/21/1999	Abstract, Cols. 1-2
	5	5,978,837	US	M. Foladare et al.	11/02/1999	Abstract, Cols. 1-2
	6	6,212,265	US	D. Duphorne	04/03/2001	Abstract, Cols. 1-2
	7	6,230,003	US	J. Macor	05/08/2001	Abstract, Col. 1
	8	6,237,027	US	N. Namekawa	05/22/2001	Abstract, Cols. 1-5

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number (if known)	Kind Code <sup>4</sup>				
	9	WO	WO 99/03239	WO	R. Hall	01/21/1999	Pages 1-3	<input type="checkbox"/>
	10	WO	WO 00/39993	WO	B. Brilla et al,	07/06/2000	Pages 1-11	<input type="checkbox"/>
	11	WO	WO 00/45557	WO	S. O'Neal	10/25/2001	Pages 3-6	<input type="checkbox"/>
	12	EP	EP 0921699A2	EP	U. Amin et al.	09/06/1999	Columns 1 and 2	<input type="checkbox"/>
	13	EP	EP 0961447A1	EP	K. Quinn	01/12/1999	Columns 1-5	<input type="checkbox"/>
	14	EP	EP 1146459A1	EP	R. Vaidyanathan et al.	10/17/2001	Cols. 1 and 2	<input type="checkbox"/>
	15	WO	WO 01/6748 A	WO	J. Kladman et al.	01/25/2001	Pages 1-12	<input type="checkbox"/>
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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Group Art Unit

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Sheet 2 of 3

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Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	16	6,243,739B1	US	B. Schwartz et al.	06/05/2001	Abstract, Cols. 1-3
	17	6,272,214B1	US	B. Jonsson	08/07/2001	Abstract, Cols. 1-2
	18	6,240,445	US	S. Kumar et al.	05/29/2001	Abstract, Col. 1-3

**FOREIGN PATENT DOCUMENTS**

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		Office <sup>3</sup>	Number (if known)	Kind Code <sup>3</sup>				
	19	WO	WO 00/74349	WO	E. Jung	12/07/2000	Pages 1-3	<input type="checkbox"/>
	20	WO	WO 0067436	WO	S. Cannavo et al.	11/09/2000	Pages 1-4	<input type="checkbox"/>
	21	WO	WO 98/32274	WO	N. Amit et al.	07/23/1998	Pages 1-3	<input type="checkbox"/>
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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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